

Docket No.: PH110205.2402/KMZ15101.02402  
Customer No. 27160

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
William G. KERR :  
Serial No. 09/955,174 : Group Art Unit: 1614  
Filed: September 19, 2001 : Examiner: *Unassigned*

For: CONTROL OF NK CELL FUNCTION AND SURVIVAL BY MODULATION OF SHIP  
ACTIVITY

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION

Commissioner for Patents  
Washington D.C. 20231


Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated October 24, 2001 in the above-referenced application, please find enclosed the following documents:

1. Copy of Notice to File Missing Parts of Nonprovisional Application.
2. Executed Declaration and Power of Attorney;
3. Please charge the fee of \$65.00 for the declaration surcharge for a small entity applicant to Deposit Account No. 50-1710. A duplicate copy of this paper is enclosed.

No additional fees are believed necessary. However, the Commissioner is authorized to charge any additional fees, or to charge any under or overpayment for this matter to Deposit Account No. 50-1710.

Respectfully submitted,  
KATTEN MUCHIN ZAVIS

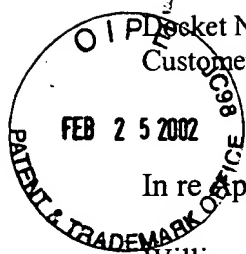
  
Corinne M. Pouliquen  
Registration No. 35,753

525 West Monroe Street  
Suite 1600  
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Date: 2/25/02  
DC/4124185v1.1/F4205.2402/15101.02402

Sector #

PATENT



Packet No.: PH114205.2.02/KMZ15101.02402

Customer No. 27160

FEB 25 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

William G. KERR

Application Serial No. 09/955,174

: Group Art Unit: 1614

Filed: September 19, 2001

: Examiner: *Unassigned*

For: CONTROL OF NK CELL FUNCTION AND SURVIVAL BY MODULATION OF SHIP ACTIVITY

**TRANSMITTAL LETTER**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Submitted herewith are the following documents for filing in the above-referenced application:

1. Response to Notice to File Missing Parts of Nonprovisional Application;
2. Copy of Notice to File Missing Parts of Nonprovisional Application;
3. Executed Combined Declaration and Power of Attorney;
4. Petition for a 2-month Extension of Time;
5. Change of Correspondence Address;
6. Preliminary Amendment; and
7. Return receipt postcard.

No additional fees are believed to be necessary. However, the Commissioner is hereby authorized to charge any additional fees due, or to credit any overpayment, to Deposit Account No. 50-1710.

Respectfully submitted,

Corinne M. Pouliquen  
Registration No. 35,753

Patent Administrator  
KATTEN MUCHIN ZAVIS  
525 West Monroe Street, Suite 1600  
Chicago, IL 60661-3963  
Fax: (312) 906-1021

Date: 2/25/02

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,174	09/19/2001	William G. Kerr	114205.2402

CONFIRMATION NO. 9411

## FORMALITIES LETTER



\*OC000000006926381\*

PEPPER HAMILTON LLP  
600 FOURTEENTH STREET, N.W.  
WASHINGTON, DC 20005

Date Mailed: 10/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

03/22/2002 SBALEEKU 00000040 501710 09955174

01 FC:105 130.00 CH

03/22/2002 SBALEEKU 00000040 501710 09955174

A copy of this notice MUST be returned with the reply.

S. Scharf

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/19/2002 EEKUBAY1 00000003 501710 09555174

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